

Express Mail Label No. EV 306255537 US  
Patent

ATTORNEY DOCKET NO.: D0932-00402  
[I-8821]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert Shaw et al.

Serial No.: not yet assigned

Group Art Unit: not yet assigned

Filed: herewith

Examiner: not yet assigned

For: **ANCHOR AND ANCHORING SYSTEM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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April 14, 2004  
Date  
Steven E. Koffs  
Steven E. Koffs, Reg. No. 37,163

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR.  
§ 1.56 in connection with the above-identified application, which statement is being filed:

- ☒ [X] Together with the present application.
- ☐ [ ] Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ [ ] After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ [ ] After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☒ No separate requirements are needed.

☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Fee Under 37 CFR § 1.97(d)**

The fee of \$180.00 for submission of an information disclosure statement under § 1.97(d) set forth in § 1.17(p) accompanies this statement.

☐ **Certification Under 37 CFR § 1.97(e)**

- ☐ Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- ☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

**Provision of Copies of References**

- ☒ Copies of cited references which are U.S. Patents or U.S. Patent Application Publications are not required for this application, which has a filing date after June 30, 2003 (1276 OG 55, 5 August 2003).

- ☒ A copy of each of the following references (also) listed on the attached Form PTO-1449 which are not U.S. Patents or U.S. Patent Application Publications are enclosed herewith and forms a part hereof:
- ☐ A copy of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ Partial Translations of References are enclosed herewith and form a part hereof.
- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

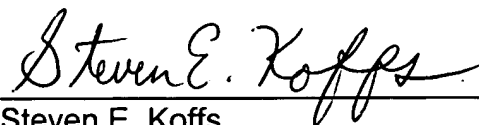
**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this transmittal is attached.

DATE: April 14, 2004

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Telephone: 215-979-1250  
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Respectfully submitted,

  
Steven E. Koffs  
Registration No. 37,163  
Attorney for Applicant(s)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	NOT YET ASSIGNED
Filing Date	herewith (April 14, 2004)
First Named Inventor	Murray S. Toas
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	D0932-00402

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2003/0154662 A1	08-21-2003	Bruchu et al.	
		US- 5,412,915	05-09-1995	Johnson	
		US- 5,660,016	08-26-1997	Erwin et al.	
		US- 5,775,048	07-07-1998	Orchard	
		US- 5,953,878	09-21-1999	Johnson	
		US- 6,170,212 B1	01-09-2001	Suchyna et al.	
		US- 6,299,400 B1	10-09-2001	Tebo	
		US- 6,344,268 B1	02-05-2002	Stucky et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	NOT YET ASSIGNED
		Filing Date	HEREWITH (April 14, 2004)
		First Named Inventor	Robert Shaw
		Art Unit	NOT YET ASSIGNED
		Examiner Name	NOT YET ASSIGNED
Sheet 2	of 2	Attorney Docket Number	D0932-00402

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ARCAT, CertainTeed Corp., Boardwalk Composite Lumber Profile, 09/05/2003	
		CertainTeed, Boardwalk® Composite Decking and Railing, Installation Instructions	
		CertainTeed, Boardwalk Composite Decking & Railing: Introduction, <a href="http://www.certainteed.com/pro/fencing/boardwalk/deck_intro.html">http://www.certainteed.com/pro/fencing/boardwalk/deck_intro.html</a> , 09/05/2003	
		Classic Displays, Recycled Plastic Lumber, Extrudawood, <a href="http://www.classicdisplays.com/recycled/extrudawood.htm">http://www.classicdisplays.com/recycled/extrudawood.htm</a> , 08/27/2003	
		EB-TY™ Deck Fastening Systems	
		Palatin Universal Corporation, Unideck Systems, Decking and Railing System Design and Installation Manual, Issued: 01/07/2002	
		Shadoe Track®, The Under Deck Fastening System, <a href="http://www.shadoetrack.com/">http://www.shadoetrack.com/</a> , ©2002 Shadoe Track, 08/27/2003	
		This Old House, Great Decks....It's in the Details, <a href="http://www.thisoldhouse.com/toh/knowhow/exterior/article/O,16417,220349-3,00.html">http://www.thisoldhouse.com/toh/knowhow/exterior/article/O,16417,220349-3,00.html</a> , 10/16,2003	
		TigerClaw Hidden Deck Fasteners, <a href="http://www.deckfastner.com/">http://www.deckfastner.com/</a> , August 27, 2003	
		Products - Boardwalk Composite Lumber, <a href="http://www.tc-alum.com/patio_boardwalk2.html">http://www.tc-alum.com/patio_boardwalk2.html</a>	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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